

[11129/2]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael GOOCH
Serial No. : 09/447,319
Filed : November 22, 1999
For : **SYSTEM AND METHOD FOR
TRADING AN INSTRUMENT**

RECEIVED

AUG 20 2004

GROUP 3600

Examiner : Hani M. Kazim
Group Art Unit : 3624

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the
United States Postal Service with sufficient postage as first class mail
in an envelope addressed to:
Mail Stop AMENDMENT
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
on

Date: 8/13/2004

Signature: [Signature]

AARON C. DEDITCH
(33,865)

TRANSMITTAL AND PETITION TO EXTEND

SIR:

Transmitted herewith for filing in the above-identified patent application is an
Amendment.

This is also a Petition Under 37 C.F.R. § 1.136(a) to extend the three-month response
date by two months from June 17, 2004 to August 17, 2004.

The Commissioner is authorized to charge the appropriate fee, which is believed to be
\$420.00 for the two-month extension, to Deposit Account No. **11-0600**, and is also
authorized, as appropriate and/or necessary, to charge any additional fees (including any other
Rule 136(a) extension fees) or credit any overpayment to Deposit Account No. **11-0600**. A
duplicate copy of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Dated: 8/13/2004

By: [Signature]

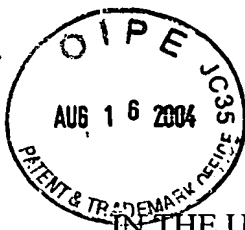
Aaron C. Deditch
(Reg. No. 33,865)

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AARON C. DEDITCH
(33,865)

AMENDMENT

SIR:

In response to the Office Action mailed on March 17, 2004 (the three-month response date for which has been extended by two months from June 17, 2004 to August 17, 2004 by the accompanying Transmittal and Petition to Extend), please reconsider the above-identified application based on the following:

Amendments to the Claims are reflected in the listing of the claims which begins on page 2 of this paper.

Remarks begin on page 9 of this paper.